



**NOTICE NUMBER: SECZ31102024**

**Operationalisation of PART I of the Third Schedule of Securities (Registration, Licensing and Corporate Governance) Rules, 2010**

It is hereby notified in terms of Paragraph 21 of the First Schedule of the Securities and Exchange Act [Chapter 24:25], as read with Part I of the Third Schedule of the Securities (Registration, Licensing and Corporate Governance) Rules, 2010 that:

“Every applicant for a licence to conduct licensable activities will, with effect from 1 November 2024, be required to write and pass an examination set by the Commission to test his or her understanding of the legal framework governing the securities industry. The examinations will be conducted for principal officers and compliance officers.”

The above shall not affect persons whose applications were received by the Commission before 01 November 2024.

A handwritten signature in black ink, consisting of a stylized, cursive script.

**Chief Executive Officer  
Securities and Exchange Commission of Zimbabwe**