



NOTICE NUMBER: LSS240624

LICENSED SECURITIES MARKET INTERMEDIARIES AS AT 22.07.2024

The Securities and Exchange Commission of Zimbabwe advises that the following have been licensed in terms of the Securities and Exchange Act [Chapter 24:25], the Asset Management Act [Chapter 24:26], and the Collective Investment Schemes Act [Chapter 24:19].

A. SECURITIES DEALING FIRMS AND DEALERS

- 1. ABC Stockbrokers (Private) Limited**
 - Kudzanai Atricious Samudzi
 - Best Chideme
 - Fadzai Bhebhe- Compliance Officer

- 2. Akribos Securities (Private) Limited**
 - Edmond Mupfapairi
 - Kudzai Gambinga
 - Regis Fanuel Gutuza- Compliance Officer

- 3. Bethel Securities (Private) Limited**
 - Geoffrey Senzo Mhlanga
 - Courage Murandu
 - Elizabeth Magangara- Compliance Officer

4. EFE Securities (Private) Limited

- Edgeton Takudzwa Tsanga
- Brenda Mwaturura
- Hilda Chiome- Compliance Officer

5. FBC Securities (Private) Limited

- Benson Gasura
- Manatsa Tagwirei
- Richard Kumbuya Mashava
- Senzeni Siziba- Compliance Officer

6. Fincent Securities (Private) Limited

- Rufaro Zengeni
- Ranganayi Makwata
- Ndodana Mguquka
- Isheanesu Nehanda- Compliance Officer

7. Inter Horizon Securities (Private) Limited

- Kudzai Machuma
- Lloyd Gugulethu Mhlotshwa
- Isabel Samkange- Compliance Officer

8. Invictus Securities (Private) Limited

- Tinashe Magodora
- Wellington Mapedzamombe
- Mutandani Makuyana- Compliance Officer

9. Imara Edwards Securities (Private) Limited

- Sebastian Tazviona Gumbo
- Phenias Mandaza
- Thedias Kasaira
- Takunda Marere- Compliance Officer

10. Ingenium Capital (Private) Limited

- Onard Mazorodze
- Langton Nyatsanza
- Rumbidzai Sengu
- Derrick Ncube
- Gibbs Mukomberanwa- Compliance Officer

11. Jemina Capital (Private) Limited

- Mashoko Tserere Dzimiri
- Christopher Mugona
- Emily Maphosa- Compliance Officer

12. Lynton Edwards Stockbrokers (Private) Limited

- Christopher Masendeke
- Murray Angus Lynton-Edwards
- Tobias Mufandaedza- Compliance Officer

13. Mast Stockbrokers (Private) Limited

- Arnold Cuthbert Chibvongodze
- Peter Leonard Marriott
- Martin Hazvinei Tsamba- Compliance Officer

- 14. MMC Stockbrokers (Private) Limited**
 - Edward Mapokotera
 - Itai Walter Chirume
 - Chiedza Ziwocha- Compliance Officer

- 15. Morgan And Co (Private) Limited**
 - Lungani Nyamazana
 - David Muchengi
 - Gabriel Manjonjo- Compliance Officer

- 16. Old Mutual Securities (Private) Limited**
 - Reggies Mtambo
 - Tevian Chauraya
 - Zondai Dhlakama- Compliance Officer

- 17. Platinum Securities (Private) Limited**
 - Colin Tichaona Mubayiwa
 - Emilia Chibhanguza
 - Tinashe Chigunhah- Compliance Officer

- 18. Remo Investment Brokers (Private) Limited**
 - Mohamed Iqbal Mahmed
 - John Motsi
 - Salim Adam- Compliance Officer

- 19. Sigma Capital (Private) Limited**
 - Daniel Chigariro
 - Tonderai Marira
 - Bright Govanayi- Compliance Officer

20. Southern Trust Securities (Private) Limited

- Zvisinei Nyakudya
- Thandiwe Shonhiwa
- Macloud Given Nyathi- Compliance Officer

21. Viscapig Securities (Private) Limited

- Donny Masayiti
- Lloyd Mupfurutsa

22. Wealth Access Securities (Private) Limited

- Fidelis Chimhuka
- Njabulo Siso Sibanda
- Pastina Musame- Compliance Officer

B. SECURITIES INVESTMENT/ASSET MANAGEMENT COMPANIES

1. ABC Asset Management (Private) Limited

- Abigail Mrerwa- Interim Principal Officer
- Fadzai Bhebhe- Compliance Officer

2. Alpha Asset Management (Private) Limited

- Rudo Katherine Mukamba- Principal Officer
- Brighton Tsaurai- Compliance Officer

3. Akribos Wealth Managers (Private) Limited

- Tawanda Mazorodze- Principal Officer
- Regis Fanuel Gutuza- Compliance Officer

4. Aramis Capital (Private) Limited

- Khulekani Bhila- Principal Officer
- Henchard Chishiri- Compliance Officer

5. Bard Santner Investors (Private) Limited

- Alfred Mbekezeli Mthimkhulu- Principal Officer
- Lena Nyasha Manyara- Compliance Officer

6. Cass Saddle Asset Management (Private) Limited

- Calvin Mugabe- Principal Officer
- Chipiwa Mukono- Compliance Officer

7. Cansly Africa Asset Management (Private) Limited

- Pardon Maseko- Principal Officer
- Shamaine Lumbile- Compliance Officer

8. CBZ Asset Management (Private) Limited

- Tendai Muzadzi- Principal Officer
- Matthew Manyati- Compliance Officer

9. Equivest Asset Management (Private) Limited

- Ayoob Adam- Principal Officer
- Zaheer Suleman- Compliance Officer

10. First Mutual Wealth Management (Private) Limited

- Thomas Mutswiti- Principal Officer
- Davison Mupasiri- Compliance Officer

11. Fidelity Life Asset Management (Private) Limited

- Bevin Ngara- Principal Officer
- Claudius Chikundura- Compliance Officer

12. Invesci Asset Management (Private) Limited

- Thomas Chataika- Principal Officer
- Danai Gumbo- Compliance Officer

13. Imara Asset Management (Private) Limited

- John Legat- Principal Officer
- Takunda Marere- Compliance Officer

14. MHMK Capital (Private) Limited

- Paul Soko- Principal Officer
- Diana Chakarisa- Compliance Officer

15. Nurture Asset Management (Private) Limited

- Kudakwashe Jonathan Gumbie- Principal Officer
- Laurencia Tatenda Mukuwe-Compliance Officer

16. Nyaradzo Asset Management (Private) Limited

- Gilbert Gumpo- Principal Officer
- Chipo Pasipamire- Compliance Officer

17. Old Mutual Investment Group Zimbabwe

- Marjorie Mayida- Principal Officer
- Givemore Kandutu- Compliance Officer

18. Purpose Asset Management (Private) Limited

- Patrick Kuona- Principal Officer
- Clara Chirimerime- Compliance Officer

19. Platinum Investment Managers (Private) Limited

- Prosper Mapika- Principal Officer
- Tinashe Chigunhah- Compliance Officer

20. Quant Africa Wealth Management (Private) Limited

- Melusi Mpofu- Principal Officer
- Pressmore Toruvanda- Compliance Officer

21. Stratus Capital Partners (Private) Limited

- Chikuni Shenjere Mutiswa- Principal Officer
- Eliphas Moyo- Compliance Officer

22. Simuka Asset Management (Private) Limited

- Francois Molife- Principal Officer
- Tamiranashe Chinyakata- Compliance Officer

23. Silverback Asset Management (Private) Limited

- Shaun Robert Edwards- Principal Officer
- Alex Mambosasa- Compliance Officer

24. Southpeople Capital Asset Management (Private) Limited

- Adam Pabwe- Principal Officer
- Maxwell De Souza- Compliance Officer

25. Smartvest Wealth Managers (Private) Limited

- Peter Antony Kadzere- Principal Officer
- Washington Chituku- Compliance Officer

26. Sublime Asset Management (Private) Limited

- Isabel Zemura- Principal Officer
- Theode Masheedze- Compliance Officer

27. Switzview Wealth Management (Private) Limited

- Gwinyai Ranganayi- Principal Officer
- Michael Munyaradzi Joshua Mubatsa- Compliance Officer

28. Terrace Africa Asset Management (Private) Limited

- Brett Abrahamse- Principal Officer
- Anesu Mutizwa- Compliance Officer

29. TN Asset Management (Private) Limited

- Ronald Makeleni- Principal Officer
- Hazvinei Kapfunde- Compliance Officer

30 Wealth Access Investment Managers (Private) Limited

- Takudzwa Mhlanga- Principal Officer
- Nozithelo Dube- Compliance Officer

31 Zimnat Asset Management (Private) Limited

- Innocent Chinyama- Principal Officer
- Evangelista Chagadama- Compliance Officer

C. SECURITIES INVESTMENT ADVISORS

1. African Banking Corporation of Zimbabwe (Private) Limited

- Taurayi Mushure- Principal Officer
- Fadzai Bhebhe- Compliance Officer

2. Access Finance (Private) Limited

- John Mapiye - Principal Officer
- Rosemary Samhembere- Compliance Officer

3. Advanced Wealth Solutions (Private) Limited

- Marcus Campbell Reynolds- Principal Officer

4. Akribos Advisory Services (Private) Limited

- Ronald Sibanda- Principal Officer
- Regis Fanuel Gutuza-Compliance Officer

5. AMG Global Advisory Services (Private) Limited

- Arthur Mubaiwa- Principal Officer
- Princess Hakutizwi- Compliance Officer

6. Bard Santner Markets (Private) Limited

- Tatenda Tinomuonga Hungwe- Principal Officer
- Lena Manyara- Compliance Officer

7. Baker Tilly Capital (Private) Limited

- Tapiwa Murambinda- Principal Officer
- Tariro Mtasa- Compliance Officer

8. BDO Tax and Advisory Services

- Jonas Jonga- Principal Officer
- Rabiroyashe Mangena- Compliance Officer

9. Carrick Wealth Zimbabwe (Private) Limited

- Andrew Paul Moore- Principal Officer
- Nazreen Harper- Compliance Officer

10. Central Africa Building Society

- Benjamin Sithole- Principal Officer
- Farirayi Machawira- Compliance Officer

11. CBZ Holdings

- Patrick Jabulani Matute- Principal Officer
- Matthew Fungayi Manyati- Compliance Officer

12. Cosmos Capital (Private) Limited

- Bernard Wekare- Principal Officer
- Bongani Mangena- Compliance Officer

13. Corporate Excellence Financial Advisory (Private) Limited

- Batanayi Chingwena- Principal Officer
- Washington Chakwakwama- Compliance Officer

14. DeVere Zimbabwe (Private) Limited

- Renee Connolly- Principal Officer
- Zandile Sagonda- Compliance Officer

15. Deloitte Advisory Services (Private) Limited

- Charity Nomsa Mtwazi- Principal Officer
- Rodney Dean- Compliance Officer

16. DBF Capital Partners (Private) Limited

- Francis Dzanya- Principal Officer
- Godknows Machiri- Compliance Officer

17. Ernst and Young Associates (Private) Limited.

- Ngonidzashe Mudzamiri- Principal Officer
- Redeem Govathson- Compliance Officer

18. FBC Bank limited

- Napoleon Kokera- Principal Officer
- Colin Mutambira- Compliance Officer

19. Fincent Advisory (Private) Limited

- Ranganayi Makwata- Principal Officer
- Isheanesu Nehanda- Compliance Officer

20. First Capital Bank (Private) Limited

- Tapera Mushoriwa- Principal Officer
- Lovemore Mangenda- Compliance Officer

21. Finesse Advisory Services (Private) Limited

- Emma Fundira- Principal Officer
- Martha Tumani Rukuni- Compliance Officer

22. Fronteras Corporate Advisors (Private) Limited

- Dumisani Nyoni- Principal Officer
- Tapiwa Karoro- Compliance Officer

23. Grant Thornton Advisory (Private) Limited

- Reggie Saruchena- Principal Officer
- Tinashe Mawere- Compliance Officer

24. Genesis Global Finance (Private) Limited

- Felix Kumirai- Principal Officer
- Calton Simbarashe Takawira- Compliance Officer

25. IH Advisory (Private) Limited

- Dzikamai Danha- Principal Officer
- Isabel Samkange- Compliance Officer

26. Ingenium Advisory (Private) Limited

- Rushworth Maenzanise- Principal Officer
- Gibbs Mukomberanwa- Compliance Officer

27. Intellego Investment Consultants (Private) Limited

- Welcome Mavingire- Principal Officer
- Lazarus Masunungure- Compliance Officer

28. Invesci Corporate Finance (Private) Limited

- Tsungai Chindanya- Principal Officer
- Takudzwa Mugwisi- Compliance Officer

29. Imara Corporate Finance Zimbabwe (Private) Limited

- Sean Gammon- Principal
- Takunda Marere- Compliance Officer

30. James Andrewes and Associates (Private) Limited

- James Mushore- Principal Officer
- Rungano Chipoyera- Compliance Officer

31. Kreston Zimbabwe Advisory Services (Private) Limited

- Modern Mutumwa- Principal Officer
- Zviedzo Pekeniya- Compliance Officer

32. KPMG Advisory (Private) Limited

- Brian Njikizana- Principal Officer
- Michael de Beer- Compliance Officer

33. Mangwana Capital (Private) Limited

- Benedict Mbanga- Principal Officer
- Steven Mupfurutsa- Compliance Officer

34. MMC Capital Advisory (Private) Limited

- Itayi Walter Chirume- Principal Officer
- Chiedza Moreblessing Ziwocha- Compliance Officer

35. Morgan & CO International (Private) Limited

- Isabel Mhlandhla- Principal Officer
- Gabriel Manjonjo- Compliance Officer

36. National Building Society

- Clifford Mtemeri- Principal Officer
- Gladys Farayi Maposa- Compliance Officer

37. Nedbank Bank Limited

- Sibongile Patience Moyo- Principal Officer
- Viola Pamela Ndlovu Compliance Officer

38. NMB Bank Limited

- Loyd Kazunga – Principal Officer
- Ngoni Maigurira- Compliance Officer

39. Nolands Advisory Services (Private) Limited

- Bernard Matamba- Principal Officer
- Nesbert Fiyanda- Compliance Officer

40. Nurture Advisory (Private) Limited

- Tatenda Mutizwa- Principal Officer
- Laurencia Tatenda Mukuwe- Compliance Officer

41. Opus Capital (Private) Limited

- Tonderai Nhika- Principal Officer
- Ngonidzashe Chikowore- Compliance Officer

42. Pax Prosperitas Advisory (Private) Limited

- Tsitsi Victoria Muza- Principal Officer
- Omega Chipochashe Ndzengwa- Compliance Officer

43. Platinum Financial Solutions (Private) Limited

- George Madzvamuse- Principal Officer
- Tinashe Chigunhah- Compliance Officer

44. Profinad Zimbabwe (Private) Limited

- Feroza Shariff- Principal Officer
- Chido Bakasa- Compliance Officer

45. PricewaterhouseCoopers Business Services (Private) Limited

- Emmaculata Pasipanodya- Principal Officer
- Daphne Marufu- Compliance Officer

46. Quant Africa Advisory Services (Private) Limited

- Blessed Musisinyani- Principal Officer
- Pressmore Toruvanda- Compliance Officer

47. Steward Bank (Private) Limited

- Lyndon Tapiwa Nyamanhindi- Principal Officer
- Caven Nyamutowa- Compliance Officer

48. Stanbic Bank Zimbabwe Limited

- Terence Mapfumo- Principal Officer
- Sithuthukile Sibanda- Compliance Officer

49. Switzview Investments (Private) Limited

- Tawanda Kanyama- Principal Officer
- Michael Munyaradzi Joshua Mubatsa- Compliance Officer

50. TN Financial Services (Private) Limited

- Godknows Mudzingwa- Principal Officer
- Hazvinei Kapfunde- Compliance Officer

51. Tri African Capital (Private) Limited

- Charles Chiwara- Principal Officer
- Shadreck Munyaradzi- Compliance Officer

52. WealthAccess Advisory Services (Private) Limited

- Thomas Makanyire- Principal Officer
- Njabulo Siso Sibanda- Compliance Officer

53. ZB capital (Private) Limited

- Samson Mutamuko- Principal Officer
- Steve Matsikira- Compliance Officer

54. Zunde Capital (Private) Limited

- Zondi Kumwenda- Principal Officer
- Shorai Rutendo Chidemo- Compliance Officer

55. Zimre Capital (Private) Limited

- Chakanyuka Nziradzemhuka- Principal Officer
- Claudius Chikundura- Compliance Officer

D. SECURITIES CUSTODIANS

1. CABS Custodial Services

- Michael Kapachika- Principal Officer
- Farirayi Machawira- Compliance Officer

2. CBZ Custodial Services (Private) Limited

- Tinashe Mapara- Principal Officer
- Matthew Fungayi Manyati- Compliance Officer

3. FBC Bank Limited

- Richard Mangosho- Principal Officer
- Collin Mutambira- Compliance Officer

4. First Capital Bank Limited

- Fanuel Kapanje- Principal Officer
- Lovemore Mangenda- Compliance Officer

5. Stanbic Bank Zimbabwe Limited

- Takunda Magumise- Principal Officer
- Sithuthukile Sibanda- Compliance Officer

6. ZB Bank Custodial Services

- Hillary Mutanda- Principal Officer
- Stephen Matsikira- Compliance Officer

E. SECURITIES TRANSFER SECRETARIES

1. Corpserve Registrars (Private) Limited

- Paradzai Mangunda- Principal Officer
- Blessing Collin Nyamutenha-Compliance Officer
- Loveness Tarisai Mareke- Compliance Officer

2. First Transfer secretaries (Private) Limited

- Tanaka Melusi Musiwa- Principal Officer
- Shingai Emmerson Muza- Compliance Officer

3. ZB Transfer secretaries (Private) Limited

- Robert Mutakwa- Principal Officer
- Stephen Matsikira- Compliance Officer

F. SECURITIES TRUSTEES

1. Baker Tilly Trustee Services (Private) Limited

- James Chitupa- Principal Officer
- Tariro Cheryl Mutasa- Compliance Officer

2. Cabs Trustee Services

- Pardon Katerere - Principal Officer
- Farirayi Machawira- Compliance Officer

3. CBZ Bank Limited

- Simbiso Victoria Musa- Principal Officer
- Matthew Fungayi Manyati- Compliance Officer

4. First Capital Bank Limited

- Mutemwa Ushewokunze- Principal Officer
- Lovemore Mangenda- Compliance Officer

5. Kreston Zimbabwe Trustees Private Limited

- Christinah Machingambi- Principal Officer
- Stancy Bande- Compliance Officer

6. Stanbic Bank Zimbabwe

- Mbongeni Dhlamini- Principal Officer
- Sithuthukile Sibanda- Compliance Officer

7. ZB Bank Trustees Services

- Paidamoyo Cecilia Mberikwazvo- Principal Officer
- Stephen Matsikira- Compliance Officer

G. SECURITIES EXCHANGES

1. Financial Securities Exchange Private Limited

- Garikayi Munema- Principal Officer
- Mukayi Kuveya- Compliance Officer

2. Southern Africa Mercantile Exchange Limited

- Khulekani Dzowa- Principal Officer
- Godwin Gwetu- Compliance Officer

3. Victoria Falls Stock Exchange Limited

- Robert Mubayiwa- Principal Officer
- Lyndon Tuyani Nkomo- Compliance Officer

4. Zimbabwe Stock Exchange Limited

- Justin Bgoni- Principal Officer
- Lyndon Tuyani Nkomo- Compliance Officer

H. CENTRAL SECURITIES DEPOSITORY

1. Chengetedzai Depository Company Limited

- Prosper Mutorogodo- Principal Officer
- Munyaradzi Mago- Compliance Officer

2. ZSE Central Securities Depository

- Justin Bgoni- Principal Officer
- Lyndon Tuyani Nkomo- Compliance Officer

I. COLLECTIVE INVESTMENT SCHEMES

1. ABC Asset Management (Private) Limited

- i. Equity Fund
- ii. Gross Income Fund
- iii. Hedge Fund
- iv. Managed Growth Fund
- v. Net Income Fund

2. Akribos Wealth Managers (Private) Limited

- i. Balanced Fund
- ii. Bond Fund
- iii. Equity Fund
- iv. Fund of Funds

- v. Money Market Fund
- vi. Akribos Build Africa Exchange Traded Fund
- vii. Akribos Finsure Single Stock Exchange Traded Fund
- viii. Akribos Gold Exchange Traded Fund

3. Bard Santner Investors (Private) Limited

- i. Bard Santner Gold Coin Unit Trust Fund

4. CBZ Asset Management (Private) Limited

- i. General Equity Fund
- ii. High Income Fund
- iii. Specialist Equity Fund
- iv. Revitus Property Opportunities Real Estate Investment Trust Fund
- v. Datvest Modified Consumer Staples Exchange Traded Fund
- vi. CBZ Real Estate Investment Trust Fund

5. Cass Saddle Asset Management (Private) Limited

- i. Cass Saddle Agriculture Exchange Traded Fund
- ii. Seef Property Fund

6. Fidelity Life Asset Management (Private) Limited

- i. Fidelity Life Growth Equity Fund
- ii. FLAM Executive Equity Fund
- iii. FLAM Hybrid Equity Fund
- iv. Zimre Blue Chip Equity Fund
- v. Eagle Real Estate Investment Trust

7. First Mutual Wealth Management (Private) Limited

- i. Money Market Fund
- ii. General Equity Fund
- iii. Growth Fund

8. Invesci Asset Management (Private) Limited

- i. Aggressive Equity Fund
- ii. Balanced Fund
- iii. Conservative Fund
- iv. Growth Fund
- v. Income Builder Fund
- vi. Money Market

9. Nurture Asset Management Pvt Limited

- i. Nurture Gold Shares ETF

10. Old Mutual Investment Group Zimbabwe (Private) Limited

- i. Balanced Fund
- ii. Bond Fund
- iii. Bond Gross Fund
- iv. Equity Fund
- v. Gross (Tax Free) Fund
- vi. Money Market Fund
- vii. Property Fund
- viii. Old Mutual Zimbabwe Stock Exchange Top Ten Exchange Traded Fund
- ix. Old Mutual USD Gross Money Market
- x. Old Mutual USD Money Market
- xi. Old Mutual Flexible Fund
- xii. Old Mutual Gold Fund
- xiii. Old Mutual Real Estate Investment Trust
- xiv. Vaka Sahara North South Corridor Trust
- xv. Old Mutual Renewable Energy Fund
- xvi. Old Mutual Developmental REIT

11. Platinum Investment Managers (Private) Limited

- i. Balanced Fund
- ii. Blue Chip Fund
- iii. Child Care Fund
- iv. Special Equity Fund
- v. CAIPF Retail Income Real Estate Investment Trust

12. Smartvest Wealth Managers (Private) Limited

- i. Gross income Fund
- ii. Growth and Stability Fund
- iii. High Interest Fund
- iv. Wealth Fund
- v. Nzou Property Fund
- vi. EFE International Exchange Traded Fund

13. Stratus Capital Partners (Private) Limited

- i. African Gold Exchange Traded Fund
- ii. Stratus Commodity Fund

14. Silverback Asset Management (Private) Limited

- i. Mombe Mari Unit Trust Fund

15. Terrace Africa Private Limited

- ii. Tigere Real Estate Investment Trust

16. TN Asset Management

- i. Morgan and Company Multisector Exchange Traded Fund

17. Zimnat Asset Management Company (Private) Limited

- ii. Blue Chip Fund
- iii. Bond Umbrella Fund
- iv. General Equity Fund
- v. Gross Income Umbrella Fund
- vi. Prosperity Fund
- vii. Stable Income Fund.
- viii. Zimnat Retail Property Professional Fund
- ix. Zimnat Value Preservation Fund
- x. Zimnat Savings Scheme Trust Fund,
- xi. TFS Capricorn Fund,
- xii. TFS Venus Equity Fund
- xiii. TFS Jupiter Equity Fund
- xiv. Morgan Made in Zimbabwe Exchange Traded Fund

For and on behalf of the Securities and Exchange Commission of Zimbabwe



N Maferefa

DIRECTOR-LICENSING, SUPERVISION AND SURVEILLANCE