



## **NOTICE NUMBER: LSS300323**

### **LICENSED SECURITIES MARKET INTERMEDIARIES AS AT 31.07.2023**

The Securities and Exchange Commission of Zimbabwe advises that the following have been licensed in terms of the Securities and Exchange Act [Chapter 24:25], the Asset Management Act [Chapter 24:26], and the Collective Investment Schemes Act [Chapter 24:19].

#### **A1. SECURITIES DEALING FIRMS AND DEALERS**

- 1. ABC Stockbrokers (Private) Limited**
  - Kudzanai Atricious Samudzi
  - Best Chideme
  - Fadzai Bhebhe- Compliance Officer
  
- 2. Akribos Securities (Private) Limited**
  - Edmond Mupfapairi
  - Regis Fanuel Gutuza- Compliance Officer
  
- 3. Bethel Equities (Private) Limited**
  - Geoffrey Senzo Mhlanga
  - Courage Murandu
  - Elizabeth Magangara- Compliance Officer

**4. EFE Securities (Private) Limited**

- Edgeton Takudzwa Tsanga
- Brenda Mwaturura
- Coreen Madanha- Compliance Officer

**5. FBC Securities (Private) Limited**

- Benson Gasura
- Manatsa Tagwirei
- Richard Kumbuya Mashava
- Senzeni Siziba- Compliance Officer

**6. Fincet Securities (Private) Limited**

- Rufaro Zengeni
- Ranganayi Makwata
- Ndodana Mguquka
- Isheanesu Nehanda- Compliance Officer

**7. Inter Horizon Securities (Private) Limited**

- Kudzai Machuma
- Lloyd Gugulethu Mhlotshwa
- Isabel Samkange- Compliance Officer

**8. Invictus Securities (Private) Limited**

- Wellington Mapedzamombe
- Tinashe Magodora
- Mutandani Makuyana- Compliance Officer

**9. Imara Edwards Securities (Private) Limited**

- Sebastian Tazviona Gumbo
- Phenias Mandaza
- Thedias Kasaira
- Takunda Marere- Compliance Officer

**10. Ingenium Capital (Private) Limited**

- Onard Mazorodze
- Langton Nyatsanza
- Rumbidzai Sengu
- Derrick Ncube
- Gibbs Mukomberanwa- Compliance Officer

**11. Jemina Capital (Private) Limited**

- Mashoko Tserere Dzimiri
- Christopher Mugona
- Emily Maphosa- Compliance Officer

**12. Lynton Edwards Stockbrokers (Private) Limited**

- Christopher Masendeke
- Murray Angus Lynton-Edwards
- Tobias Mufandaedza- Compliance Officer

**13. Mast Stockbrokers (Private) Limited**

- Arnold Cuthbert Chibvongodze
- Peter Leonard Marriott
- Martin Hazvinei Tsamba- Compliance Officer

- 14. MMC Stockbrokers (Private) Limited**
- Edward Mapokotera
  - Itai Walter Chirume
  - Ruvimbo Chaduka- Compliance Officer
- 15. Morgan And Co (Private) Limited**
- Lungani Nyamazana
  - David Muchengi
  - Gabriel Manjonjo- Compliance Officer
- 16. Old Mutual Securities (Private) Limited**
- Reggies Mtambo
  - Tevian Chauraya
  - Zondai Dhlakama- Compliance Officer
- 17. Platinum Securities (Private) Limited**
- Colin Tichaona Mubayiwa
  - Emilia Chibanguza
  - Tinashe Chigunhah- Compliance Officer
- 18. Remo Investment Brokers (Private) Limited**
- Mohamed Iqbal Mahmed
  - John Motsi
  - Salim Adam- Compliance Officer
- 19. Sigma Capital (Private) Limited**
- Daniel Chigariro
  - Tonderai Marira
  - Bright Govanayi- Compliance Officer

**20. Southern Trust Securities (Private) Limited**

- Zvisinei Nyakudya
- Thandiwe Shonhiwa

**21. Viscapig Securities (Private) Limited**

- Donny Masayiti
- Lloyd Mupfurutsa

**22. Wealth Access Securities (Private) Limited**

- Fidelis Chimhuka
- Njabulo Siso Sibanda
- Pastina Musame- Compliance Officer

**a) NOT AFFILIATED WITH A FIRM**

1. Farai Vengesayi

**B1. SECURITIES INVESTMENT/ASSET MANAGEMENT COMPANIES**

**1. ABC Asset Management (Private) Limited**

- Jubelah Magutakuona- Principal Officer
- Fadzai Bhebhe- Compliance Officer

**2. Alpha Asset Management (Private) Limited**

- Rudo Mukamba- Principal Officer
- Brighton Tsaurai- Compliance Officer

**3. Akribos Wealth Managers (Private) Limited**

- Tawanda Mazorodze- Principal Officer
- Regis Fanuel Gutuza- Compliance Officer

**4. Aramis Capital (Private) Limited**

- Khulekani Bhila- Principal Officer
- Henchard Chishiri- Compliance Officer

**5. Bard Santner Investors (Private) Limited**

- Alfred Mthimkulu- Principal Officer
- Lena Nyasha Manyara- Compliance Officer

**6. Cass Saddle Asset Management (Private) Limited**

- Calvin Mugabe- Principal Officer
- Munashe Paswavaviri- Compliance Officer

**7. CBZ Asset Management (Private) Limited**

- Tendai Muzadzi- Principal Officer
- Mathew Manyati- Compliance Officer

**8. Cornerstone Asset Management (Private) Limited (Formerly Atria)**

- Herbert Tumbare- Principal Officer

**9. Equivest Asset Management (Private) Limited**

- Ayoob Adam- Principal Officer
- Zaheer Suleman- Compliance Officer

**10. First Mutual Wealth Management (Private) Limited**

- Thomas Mutswiti- Principal Officer
- Davison Mupasiri- Compliance Officer

**11. Fidelity Life Asset Management (Private) Limited**

- Bevin Ngara- Principal Officer
- Claudius Chikundura- Compliance Officer

**12. Invesci Asset Management (Private) Limited**

- Thomas Chataika- Principal Officer
- Danai Gumbo- Compliance Officer

**13. Imara Asset Management (Private) Limited**

- John Legat- Principal Officer
- Takunda Marere- Compliance Officer

**14. MHMK Capital (Private) Limited**

- Paul Soko- Principal Officer
- Diana Chakarisa- Compliance Officer

**15. Nurture Asset Management (Private) Limited**

- Kudakwashe Jonathan Gumbie- Principal Officer
- Laurencia Mukuwe-Compliance Officer

**16. Nyaradzo Asset Management (Private) Limited**

- Gilbert Gumpo- Principal Officer
- Chipu Pasipamire- Compliance Officer

**17. Old Mutual Investment Group Zimbabwe (Private) Limited**

- Marjorie Mayida- Principal Officer
- Givemore Kandutu- Compliance Officer

**18. Purpose Asset Management (Private) Limited**

- Patrick Kuona- Principal Officer
- Clara Chirimerime- Compliance Officer

**19. Platinum Investment Managers (Private) Limited**

- Prosper Mapika- Principal Officer
- Tinashe Chigunhah- Compliance Officer

**20. Quant Africa Wealth Management (Private) Limited**

- Melusi Mpofu- Principal Officer
- Pressmore Toruvanda- Compliance Officer

**21. Stratus Capital Partners (Private) Limited**

- Chikuni Shenjere Mutiswa- Principal Officer
- Eliphas Moyo- Compliance Officer

**22. Simuka Asset Management (Private) Limited**

- Francois Molife- Principal Officer
- Tamiranashe Chinyakata- Compliance Officer

**23. Silverback Asset Management (Private) Limited**

- Alex Mambosasa- Compliance Officer

**24. Southpeople Capital Asset Management (Private) Limited**

- Adam Pabwe- Principal Officer
- Maxwell De Souza- Compliance Officer

**25. Smartvest Wealth Managers (Private) Limited**

- Peter Antony Kadzere- Principal Officer
- Washington Chituku- Compliance Officer

**26. Sublime Asset Management (Private) Limited**

- Isabel Zemura- Principal Officer
- Theode Masheedze- Compliance Officer

**27. Switzview Wealth Management (Private) Limited**

- Gwinyai Ranganayi- Principal Officer
- Michael Munyaradzi Joshua Mubatsa- Compliance Officer



**28. Terrace Africa Asset Management (Private) Limited**

- Brett Abrahamse- Principal Officer
- Anesu Mutizwa- Compliance Officer

**29. TN Asset Management (Private) Limited**

- Ronald Makeleni- Principal Officer
- Hazvinei Kapfunde- Compliance Officer

**30 Wealth Access Investment Managers (Private) Limited**

- Tsitsi Chibumbu- Principal Officer
- Pastina Musame- Compliance Officer

**31 Zimnat Asset Management (Private) Limited**

- Farai Gwaka- Principal Officer
- Evangelista Chagadama- Compliance Officer

**C1. SECURITIES INVESTMENT ADVISORS**

**1. African Banking Corporation of Zimbabwe (Private) Limited**

- Taurayi Mushure- Principal Officer
- Fadzai Bhebhe- Compliance Officer

**2. Access Finance (Private) Limited**

- Singathini Raymond Chigogwana- Principal Officer
- Rosemary Samhembere- Compliance Officer

**3. Advanced Wealth Solutions (Private) Limited**

- Marcus Campbell Reynolds- Principal Officer

**4. Akribos Advisory Services (Private) Limited**

- Regis Fanuel Gutuza-Compliance Officer

**5. AMG Global Advisory Services (Private) Limited**

- Arthur Mubaiwa- Principal Officer

**6. Bard Santner Markets (Private) Limited**

- Tatenda Tinomuonga Hungwe- Principal Officer
- Lena Manyara- Compliance Officer

**7. Baker Tilly Capital (Private) Limited**

- Tapiwa Murambinda- Principal Officer
- Tariro Mtasa- Compliance Officer

**8. BDO Tax and Advisory Services (Private) Limited**

- Jonas Jonga- Principal Officer
- Rabiroyashe Mangena- Compliance Officer

**9. Brooks and Oracles (Private) Limited**

- Jonas Mushosho- Principal Officer

**10. Carrick Wealth Zimbabwe (Private) Limited**

- Andrew Paul Moore- Principal Officer

**11. Corporate Excellence Financial Advisory Services (Private) Limited**

- Batanayi Chingwena- Principal Officer
- Washington Chakwakwama- Compliance Officer

**12. CBZ Holdings Limited**

- Patrick Jabulani Matute- Principal Officer
- Matthew Fungayi Manyati- Compliance Officer

**13. CBZ Bank Limited**

- Richard Mangi- Principal Officer
- Matthew Fungayi Manyati- Compliance Officer

**14. Cosmos Capital (Private) Limited**

- Bernard Wekare- Principal Officer
- Happymore Mapara- Compliance Officer

**15. DeVere Zimbabwe (Private) Limited**

- Nathan Mpofu- Principal Officer
- Zandile Sagonda- Compliance Officer

**16. Deloitte Advisory Services (Private) Limited**

- Charity Nomsa Mtwazi- Principal Officer

**17. DBF Capital Partners (Private) Limited**

- Francis Dzanya- Principal Officer
- Godknows Machiri- Compliance Officer

**18. Emergent Capital Management (Private) Limited**

- Ray Wako Chipendo- Principal Officer
- Mercy Masendeke- Compliance Officer

**19. Epitome Capital (Private) Limited**

- Stephen Mashozhera- Principal Officer
- Mildred Mubayiwa- Compliance Officer

**20. Ernst and Young Associates (Private) Limited.**

- Ngonidzashe Mudzamiri- Principal Officer
- Redeem Govathson- Compliance Officer

**21. FBC Bank Limited**

- Napoleon Kokera- Principal Officer
- Collin Mutambira- Compliance Officer

**22. Fincent Advisory (Private) Limited**

- Ranganayi Makwata- Principal Officer
- Isheanesu Nehanda- Compliance Officer

**23. First Capital Bank (Private) Limited**

- Ciaran McSharry- Principal Officer
- Lovemore Mangenda- Compliance Officer

**24. Finesse Advisory Services (Private) Limited**

- Emma Fundira- Principal Officer
- Martha Tumani Rukuni- Compliance Officer

**25. Fronteras Corporate Advisors (Private) Limited**

- Dumisani Nyoni- Principal Officer

**26. Grant Thornton Advisory (Private) Limited**

- Reggie Saruchena- Principal Officer
- Tinashe Mawere- Compliance Officer

**27. IH Advisory (Private) Limited**

- Dzikamai Danha- Principal Officer
- Isabel Samkange- Compliance Officer

**28. Ingenium Advisory (Private) Limited**

- Rushworth Maenzanise- Principal Officer
- Gibbs Mukomberanwa- Compliance Officer

**29. Intellego Advisory Services (Private) Limited**

- Welcome Mavingire- Principal Officer
- Christopher Madhlabe- Compliance Officer

**30. Invesci Corporate Finance (Private) Limited**

- Tsungai Chindanya- Principal Officer
- Takudzwa Mugwisi- Compliance Officer

**31. Imara Corporate Finance Zimbabwe (Private) Limited**

- Sean Gammon- Principal Officer
- Takunda Marere- Compliance Officer

**32. James Andrewes and Associates (Private) Limited**

- James Mushore- Principal Officer
- Rungano Chipoyera- Compliance Officer

**33. Kreston Zimbabwe Advisory Services (Private) Limited**

- Modern Mutumwa- Principal Officer
- Zviedzo Pekeniya- Compliance Officer

**34. KPMG Advisory (Private) Limited**

- Brian Njikizana- Principal Officer
- Michael de Beer- Compliance Officer

**35. Lloyd Corporate Capital (Private) Limited**

- Bekithemba Lloyd Nkomo- Principal Officer

**36. Mangwana Capital (Private) Limited**

- Benedict Mbanga- Principal Officer
- Steven Mupfurutsa- Compliance Officer

**37. Morgan & CO International (Private) Limited**

- Gabriel Manjonjo- Compliance Officer

#### **38. Nedbank Bank Limited**

- Sibongile Patience Moyo- Principal Officer
- Viola Pamela Ndlovu Compliance Officer

#### **39. NMB Bank Limited**

- Lloyd Kazunga – Principal Officer
- Ngoni Maigurira- Compliance Officer

#### **40. Nolands Advisory Services (Private) Limited**

- Bernard Matamba- Principal Officer
- Nesbert Fiyanda- Compliance Officer

#### **41. Nurture Advisory (Private) Limited**

- Tatenda Mutizwa- Principal Officer
- Laurencia Mukuwe- Compliance Officer

#### **42. Opus Capital (Private) Limited**

- Tonderai Nhika- Principal Officer
- Ngonidzashe Chikowore- Compliance Officer

#### **43. Platinum Financial Solutions (Private) Limited**

- George Madzvamuse- Principal Officer
- Tinashe Chigunhah- Compliance Officer

#### **44. Profinad Zimbabwe (Private) Limited**

- Feroza Shariff- Principal Officer
- Chido Bakasa- Compliance Officer

#### **45. PricewaterhouseCoopers Business Services (Private) Limited**

- Emmaculata Pasipanodya- Principal Officer
- Daphne Marufu- Compliance Officer

**46. Quant Africa Advisory Services (Private) Limited**

- Blessed Muisinyani- Principal Officer
- Pressmore Toruvanda- Compliance Officer

**47. Steward Bank (Private) Limited**

- Lyndon Tapiwa Nyamanhindi- Principal Officer
- Caven Nyamutowa- Compliance Officer

**48. Stanbic Bank Zimbabwe Limited**

- Terence Mapfumo- Principal Officer
- Sithuthukile Sibanda- Compliance Officer

**49. Switzview Investments (Private) Limited**

- Michael Munyaradzi Joshua Mubatsa- Compliance Officer

**50. TN Financial Services (Private) Limited**

- Godknows Mudzingwa- Principal Officer
- Hazvinei Kapfunde- Compliance Officer

**51. Tri African Capital (Private) Limited**

- Charles Chiwara- Principal Officer
- Shadreck Munyaradzi- Compliance Officer

**52. WealthAccess Advisory Services (Private) Limited**

- Thomas Makanyire- Principal Officer
- Njabulo Siso Sibanda- Compliance Officer

**53. ZB Capital (Private) Limited**

- Samson Mutamuko- Principal Officer
- Steve Matsikira- Compliance Officer

#### **54. Zunde Capital (Private) Limited**

- Zondi Kumwenda- Principal Officer
- Shorai Rutendo Chidemo- Compliance Officer

#### **55. Zimre Capital (Private) Limited**

- Chakanyuka Nziradzemhuka- Principal Officer
- Claudius Chikundura- Compliance Officer

### **D. SECURITIES CUSTODIANS**

#### **1. CABS Custodial Services**

- Michael Kapachika- Principal Officer
- Farirayi Machawira- Compliance Officer

#### **2. CBZ Custodial Services (Private) Limited**

- Paul Chimudzi- Principal
- Matthew Fungayi Manyati- Compliance Officer

#### **3. FBC Bank Limited**

- Richard Mangosho- Principal Officer
- Collin Mutambira- Compliance Officer

#### **4. Standard Chartered Bank Zimbabwe Limited**

- Wilson Karombo- Principal Officer
- Everson Gatsi- Compliance Officer

#### **5. Stanbic Bank Zimbabwe Limited**

- Takunda Magumise- Principal Officer
- Sithuthukile Sibanda- Compliance Officer



## **6. ZB Bank Custodial Services**

- Stephen Matsikira- Compliance Officer

## **E. SECURITIES TRANSFER SECRETARIES**

### **1. Corpserve Registrars (Private) Limited**

- Paradzai Mangunda- Principal Officer
- Blessing Collen Nyamutenha- Compliance Officer
- Loveness Tarisai Mareke- Compliance Officer

### **2. First Transfer secretaries (Private) Limited**

- Tanaka Melusi Musiwa- Principal Officer
- Shingai Emmerson Muza- Compliance Officer

### **3. ZB Transfer secretaries (Private) Limited**

- Robert Mutakwa- Principal Officer
- Stephen Matsikira- Compliance Officer

## **F. SECURITIES TRUSTEES**

### **1. Baker Tilly Trustee Services (Private) Limited**

- James Chitupa- Principal Officer
- Tariro Cheryl Mutasa- Compliance Officer

### **2. CABS Trustee Services**

- Christinah Machingambi- Principal Officer
- Farirayi Machawira- Compliance Officer

### **3. CBZ Bank Limited**

- Simbiso Victoria Musa- Principal Officer
- Matthew Fungayi Manyati- Compliance Officer

#### **4. Stanbic Bank Zimbabwe Limited**

- Mbongeni Dhlamini- Principal Officer
- Sithuthukile Sibanda- Compliance Officer

#### **5. ZB Bank Trustees Services**

- Paidamoyo Cecilia Mberikwazvo- Principal Officer
- Stephen Matsikira- Compliance Officer

### **G1. SECURITIES EXCHANGES**

#### **1. Financial Securities Exchange Private Limited**

- Garikayi Munema- Principal Officer
- Mukayi Kuveya- Compliance Officer

#### **2. Southern Africa Mercantile Exchange Limited**

- Khulekani Dzowa- Principal Officer
- Godwin Gwetu- Compliance Officer

#### **3. Victoria Falls Stock Exchange Limited**

- Justin Bgoni- Principal Officer
- Lyndon Tuyani Nkomo- Compliance Officer

#### **4. Zimbabwe Stock Exchange Limited**

- Justin Bgoni- Principal Officer
- Lyndon Tuyani Nkomo- Compliance Officer

### **H. CENTRAL SECURITIES DEPOSITORY**

#### **1. Chengetedzai Depository Company Limited**

- Prosper Mutorogodo- Principal Officer
- Munyaradzi Mago- Compliance Officer

## **2. ZSE Central Securities Depository**

- Justin Bgoni- Principal Officer
- Lyndon Tuyani Nkomo- Compliance Officer

# **I. COLLECTIVE INVESTMENT SCHEMES**

## **1. ABC Asset Management (Private) Limited**

- Equity Fund
- Gross Income Fund
- Hedge Fund
- Managed Growth Fund
- Net Income Fund

## **2. Akribos Wealth Managers (Private) Limited**

- Balanced Fund
- Bond Fund
- Equity Fund
- Fund of Funds
- Money Market Fund
- Akribos Build Africa Exchange Traded Fund
- Akribos Finsure Single Stock Exchange Traded Fund
- Akribos Gold Exchange Traded Fund

## **3. Bard Santner Investors (Private) Limited**

- Bard Santner Gold Coin Unit Trust Fund

## **4. CBZ Asset Management (Private) Limited**

- General Equity Fund
- High Income Fund
- Specialist Equity Fund
- Revitus Property Opportunities Real Estate Investment Trust Fund

- Datvest Modified Consumer Staples Exchange Traded Fund
- CBZ Real Estate Investment Trust Fund

**5. Cass Saddle Asset Management (Private) Limited**

- Cass Saddle Agriculture Exchange Traded Fund
- Seeff Property Fund

**6. Fidelity Life Asset Management (Private) Limited**

- Fidelity Life Growth Equity Fund
- FLAM Executive Equity Fund
- FLAM Hybrid Equity Fund
- Zimre Blue Chip Equity Fund
- Eagle Real Estate Investment Trust

**7. First Mutual Wealth Management (Private) Limited**

- Money Market Fund
- General Equity Fund
- Growth Fund

**8. Invesci Asset Management (Private) Limited**

- Aggressive Equity Fund
- Balanced Fund
- Conservative Fund
- Growth Fund
- Income Builder Fund
- Money Market Fund

**9. Nurture Asset Management (Private) Limited**

- Nurture Gold Shares Exchange Traded Fund

#### **10. Old Mutual Investment Group Zimbabwe (Private) Limited**

- Balanced Fund
- Bond Fund
- Bond Gross Fund
- Equity Fund
- Gross (Tax Free) Fund
- Money Market Fund
- Property Fund
- Old Mutual Zimbabwe Stock Exchange Top Ten Exchange Traded Fund
- Old Mutual USD Gross Money Market Fund
- Old Mutual USD Money Market Fund
- Old Mutual Flexible Fund
- Old Mutual Gold Fund
- Old Mutual Real Estate Investment Trust
- Vaka Sahara North South Corridor Trust

#### **11. Platinum Investment Managers (Private) Limited**

- Balanced Fund
- Blue Chip Fund
- Child Care Fund
- Special Equity Fund
- CAIPF Retail Income Real Estate Investment Trust

#### **12. Smartvest Wealth Managers (Private) Limited**

- Gross income Fund
- Growth and Stability Fund
- High Interest Fund
- Wealth Fund
- Nzou Property Fund
- EFE International Exchange Traded Fund

**13. Stratus Capital Partners (Private) Limited**

- African Gold Exchange Traded Fund
- Stratus Commodity Fund

**14. Terrace Africa (Private) Limited**

- Tigere Real Estate Investment Trust

**15. TN Asset Management (Private) Limited**

- Morgan and Company Multisector Exchange Traded Fund

**16. Zimnat Asset Management Company (Private) Limited**

- Blue Chip Fund
- Bond Umbrella Fund
- General Equity Fund
- Gross Income Umbrella Fund
- Prosperity Fund
- Stable Income Fund
- Zimnat Retail Property Professional Fund
- Zimnat Value Preservation Fund
- Zimnat Savings Scheme Trust Fund
- TFS Capricorn Fund
- TFS Venus Equity Fund
- TFS Jupiter Equity Fund
- Morgan Made in Zimbabwe Exchange Traded Fund

**For and on behalf of the Securities and Exchange Commission of Zimbabwe**



Norman Maferefa

**DIRECTOR-LICENSING, SUPERVISION AND SURVEILLANCE**