



## **NOTICE NUMBER: LSS140223**

### **LICENSED SECURITIES MARKET INTERMEDIARIES AS AT 14.02.2023**

The Securities and Exchange Commission of Zimbabwe advises that the following have been licensed in terms of the Securities and Exchange Act [Chapter 24:25], the Asset Management Act [Chapter 24:26], and the Collective Investment Schemes Act [Chapter 24:19].

#### **A1. SECURITIES DEALING FIRMS AND DEALERS**

**1. Bethel Securities (Private) Limited**

- Geoffrey Senzo Mhlanga
- Courage Murandu
- Elizabeth Magangara- Compliance Officer

**2. EFE Securities (Private) Limited**

- Edgeton Takudzwa Tsanga
- Brenda Mwaturura
- Coreen Madanha- Compliance Officer

**3. FBC Securities (Private) Limited**

- Benson Gasura
- Manatsa Tagwirei
- Richard Kumbuya Mashava
- Senzeni Siziba- Compliance Officer

**4. Fincent Securities (Private) Limited**

- Rufaro Zengeni
- Ranganayi Makwata
- Ndodana Mguquka
- Isheanesu Nehanda- Compliance Officer

**5. Inter Horizon Securities (Private) Limited**

- Kudzai Machuma
- Lloyd Gugulethu Mhlotshwa
- Isabel Samkange- Compliance Officer

**6. Invictus Securities (Private) Limited**

- Wellington Mapedzamombe
- Tinashe Magodora
- Mutandani Makuyana- Compliance Officer

**7. Jemina Capital (Private) Limited**

- Mashoko Tserere Dzimiri
- Lloyd Mupfurutsa
- Christopher Mugona
- Emily Maphosa- Compliance Officer

**8. Lynton Edwards Stockbrokers (Private) Limited**

- Christopher Masendeke
- Murray Angus Lynton-Edwards
- Tobias Mufandaedza- Compliance Officer

**9. Mast Stockbrokers (Private) Limited**

- Arnold Cuthbert Chibvongodze
- Peter Leonard Marriott

- Martin Hazvinei Tsamba- Compliance Officer

**10. MMC Stockbrokers (Private) Limited**

- Edward Mapokotera
- Itai Walter Chirume
- Ruvimbo Chaduka- Compliance Officer

**11. Morgan And Co (Private) Limited**

- Lungani Nyamazama
- David Muchengi
- Gabriel Manjonjo- Compliance Officer

**12. Old Mutual Securities (Private) Limited**

- Reggies Mtambo
- Tevian Chauraya
- Zondai Dhlakama- Compliance Officer

**13. Platinum Securities (Private) Limited**

- Colin Tichaona Mubayiwa
- Emilia Chibanguza
- Tinashe Chigunhah- Compliance Officer

**14. Wealth Access Securities (Private) Limited**

- Fidelis Chimhuka
- Donny Masayiti
- Njabulo Siso Sibanda
- Pastina Musame- Compliance Officer

**B1. SECURITIES INVESTMENT/ASSET MANAGEMENT COMPANIES**

**1. ABC Asset Management (Private) Limited**

- Jubelah Magutakuona- Principal Officer
- Fadzai Bhebhe- Compliance Officer

**2. Bard Santner Investors (Private) Limited**

- Alfred Mthimkulu- Principal Officer
- Lena Nyasha Manyara- Compliance Officer

**3. CBZ Asset Management (Private) Limited**

- Tendai Muzadzi- Principal Officer
- Matthew Manyati- Compliance Officer

**4. Equivest Asset Management (Private) Limited**

- Ayooob Adam- Principal Officer
- Zaheer Suleman- Compliance Officer

**5. First Mutual Wealth Management (Private) Limited**

- Thomas Mutswiti- Principal Officer
- Davison Mupasiri- Compliance Officer

**6. Invesci Asset Management (Private) Limited**

- Thomas Chataika- Principal Officer
- Danai Gumbo- Compliance Officer

**7. MHMK Capital (Private) Limited**

- Paul Soko- Principal Officer
- Diana Chakarisa- Compliance Officer

**8. Old Mutual Investment Group Zimbabwe**

- Marjorie Mayida- Principal Officer
- Givemore Kandutu- Compliance Officer

#### **9. Purpose Asset Management (Private) Limited**

- Patrick Kuona- Principal Officer
- Prince Nonyani- Compliance Officer

#### **10. Platinum Investment Managers (Private) Limited**

- Prosper Mapika- Principal Officer
- Tinashe Chigunhah- Compliance Officer

#### **11. Quant Africa Wealth Management (Private) Limited**

- Melusi Mpofu- Principal Officer
- Pressmore Toruvanda- Compliance Officer

#### **12. Terrace Africa Asset Management (Private) Limited**

- Brett Abrahamse- Principal Officer
- Anesu Mutizwa- Compliance Officer

#### **13. TN Asset Management (Private) Limited**

- Ronald Makeleni- Principal Officer
- Hazvinei Kapfunde- Compliance Officer

#### **14. Wealth Access Investment Managers (Private) Limited**

- Tsitsi Chibumbu- Principal Officer
- Pastina Musame- Compliance Officer

### **C1. SECURITIES INVESTMENT ADVISORS**

#### **1. Bard Santner Markets (Private) Limited**

- Tatenda Tinomuonga Hungwe- Principal Officer
- Lena Manyara- Compliance Officer

#### **2. BDO Tax and Advisory Services**

- Jonas Jonga- Principal Officer

- Rabiroyashe Mangena- Compliance Officer

### **3. Fincent Advisory (Private) Limited**

- Ranganayi Makwata- Principal Officer
- Isheanesu Nehanda- Compliance Officer

### **4. Opus Capital (Private) Limited**

- Tonderai Nhika- Principal Officer
- Ngonidzashe Chikowore- Compliance Officer

### **5. Platinum Financial Solutions (Private) Limited**

- George Madzvamuse- Principal Officer
- Tinashe Chigunhah- Compliance Officer

### **6. Quant Africa Advisory Services (Private) Limited**

- Blessed Musisinyani- Principal Officer
- Pressmore Toruvanda- Compliance Officer

### **7. TN Financial Services (Private) Limited**

- Godknows Mudzingwa- Principal Officer
- Hazvinei Kapfunde- Compliance Officer

## **D. SECURITIES CUSTODIANS**

### **1. CABS Custodial Services**

- Michael Kapachika- Principal Officer

### **2. Standard Chartered Bank Zimbabwe Limited**

- Wilson Karombo- Principal Officer
- Everson Gatsi- Compliance Officer

## **E. SECURITIES TRANSFER SECRETARIES**

### **1. First Transfer secretaries (Private) Limited**

- Tanaka Melusi Musiwa- Principal Officer
- Shingai Emmerson Muza- Compliance Officer

## **F. SECURITIES TRUSTEES**

### **1. Cabs Trustee Services**

- Christinah Machingambi- Principal Officer

## **G1. SECURITIES EXCHANGES**

### **1. Financial Securities Exchange Private Limited**

- Garikayi Munema- Principal Officer
- Mukayi Kuveya- Compliance Officer

### **2. Victoria Falls Stock Exchange Limited**

- Justin Bgoni- Principal Officer
- Lyndon Tuyani Nkomo- Compliance Officer

### **3. Zimbabwe Stock Exchange Limited**

- Justin Bgoni- Principal Officer
- Lyndon Tuyani Nkomo- Compliance Officer

## **H. CENTRAL SECURITIES DEPOSITORY**

### **1. Chengetedzai Depository Company Limited**

- Prosper Mutorogodo- Principal Officer
- Munyaradzi Mago- Compliance Officer

### **2. ZSE Central Securities Depository**

- Justin Bgoni- Principal Officer
- Lyndon Tuyani Nkomo- Compliance Officer

**For and on behalf of the Securities and Exchange Commission of Zimbabwe**

A handwritten signature in black ink, appearing to be 'N Maferefa', written in a cursive style.

N Maferefa

**HEAD-LICENSING, SUPERVISION AND SURVEILLANCE**