



**NOTICE NUMBER: LSS170123**

**NOTICE TO THE PUBLIC: UNLICENSED CAPITAL MARKET PLAYERS**

The Securities and Exchange Commission of Zimbabwe (SECZim) is a regulator of capital market players in Zimbabwe whose primary responsibilities include investor protection and prevention of fraud and financial crime. The SECZim hereby cautions the public against buying or selling securities or investing in any capital market products or services through unlicensed market players.

The investing public is urged to ascertain whether the institution or individuals they are dealing with are licensed by the Commission to avoid the risk of losing their hard-earned money through pyramid schemes. SECZim informs investors that they are entitled to request for a valid SECZim issued licence certificate from anyone dealing in a capital market product or service before transacting. A list of all licensed players is available on the SECZim website [www.seczim.co.zw](http://www.seczim.co.zw). If in doubt of license status, kindly contact the Commission for clarification.

The Commission further informs the public that any person who wishes to operate any capital market business including collective investment schemes should visit the Commission or the SECZim website for guidance. Any person conducting capital market business in Zimbabwe without a SECZim licence is committing a crime.

For more information, please contact the Securities and Exchange Commission of Zimbabwe on 0242 870042 – 9 or email [seczim@seczim.co.zw](mailto:seczim@seczim.co.zw)

Please be guided accordingly.

For and on behalf of the Securities and Exchange Commission of Zimbabwe

A. Taruvinga

**Chief Executive Officer**