

SS05/2018

LICENSING FOR THE YEAR ENDING 31/12/ 2018

The Securities & Exchange Commission of Zimbabwe advises that the following have been licensed in terms of the Securities & Exchange Act [Chapter 24:25], the Asset Management Act [Chapter 24:26] and the Collective Investment Schemes Act [Chapter 24:19].

A. SECURITIES DEALING FIRMS AND SECURITIES DEALERS

- 1. ABC Stockbrokers (Private) Limited
 - Arnold Tichafa Dhlamini
 - Kudzai Gambinga
- 2. Akribos Securities (Private) Limited
 - Edmond Mupfapairi
 - Lungani Nkosilathi Nyamazana
- 3. Bethel Equities (Private) Limited
 - Geoffrey Senzo Mhlanga
 - Courage Murandu
 - Njabulo Siso Sibanda

A1. SECURITIES DEALING FIRMS AND SECURITIES DEALERS

- 4. EFE Securities (Private) Limited
 - Takudzwa Edgeton Tsanga
 - Phenias Mandaza
- 5. FBC Securities (Private) Limited
 - Benson Gasura
 - Davide Muchengi
 - Manatsa Tagwireyi
 - Richard Kumbuya Mashava
- 6. Gladnet Investments (Private) Limited
 - Donny Masayiti
 - Lloyd Mupfurutsa
- 7. Imara Edwards Securities (Private) Limited
 - Mark John Steele Tunmer
 - Thedias Kasaira
 - Derrick Ncube
 - Shelton Sibanda
- 8. Interhorizon Securities (Private) Limited
 - Daniel Chigariro
 - Kudzai Machuma
 - Lloyd Gugulethu Mlotshwa
- 9. Invictus Securities Zimbabwe (Private) Limited
 - Tinashe Magodora
 - Wellington Mapedzamombe

A2. SECURITIES DEALING FIRMS AND SECURITIES DEALERS

- 10. Lynton-Edwards Stockbrokers (Private) Limited
 - Murray Angus Lynton-Edwards
 - Onard Mazorodze
 - Langton Tonderayi Nyatsanza
- 11. Mast Stockbrokers (Private) Limited
 - Leonard Peter Marriot
 - Arnold Chibvongodze.
- 12. MMC Stockbrokers (Private) Limited
 - Itayi Walter Chirume
 - Edward Mapokotera
- 13. Old Mutual Securities (Private) Limited
 - Reggies Mtambo
 - Tevian Chauraya
- 14. Platinum Securities (Private) Limited
 - Tonderai Marira
 - Fidelis Chimhuka
- 15. Southern Trust Securities (Private) Limited
 - Žvisinei Nyakudya
 - Thandiwe Shonhiwa
- 16. Remo Investment Brokers (Private) Limited
 - Mohamed Igbal Mahmed
 - John Motsi

A3. SECURITIES DEALERS NOT AFFILIATED TO A FIRM

- Seti Shumba
- Rangarirai Mtungwazi
- Bartholomew Mswaka
- Ndodana Mguquka

B. INVESTMENT MANAGEMENT COMPANIES/ ASSET MANAGERS

- ABC Asset Management (Private) Limited
- 2. Akribos Wealth Managers (Private) Limited
- 3. Alpha Asset Management (Private) Limited
- 4. Atria Asset Management (Private) Limited
- 5. CBZ Asset Management (Private) limited
- 6. Equivest Asset Management (Private) Limited
- 7. Fidelity Life Asset Management (Private) Limited
- 8. First Mutual Wealth Management (Private) Limited
- 9. Imara Asset Management Zimbabwe (Private) Limited
- 10. Invesci Asset Management (Private) Limited
- 11. Old Mutual Investment Group Zimbabwe (Private) Limited
- 12. Platinum Investment Managers (Private) Limited
- 13. Purpose Asset Management (Private) Limited
- 14. Smartvest Wealth Managers (Private) Limited
- 15. TN Asset Management (Private) Limited

16. Zimnat Asset Management (Private) Limited

C. SECURITIES INVESTMENT ADVISORS

- 1. Access Finance (Private) Limited
- Advanced Wealth Solutions (Private) Limited
- 3. African Banking Corporation of Zimbabwe Limited
- 4. Akribos Advisory Services (Private) Limited
- 5. AMG Global Advisory Services (Private) Limited
- 6. Bonne Bouche Investments (Private) Limited
- 7. Carrick Wealth Zimbabwe (Private) Limited
- 8. CBZ Holdings Limited
- 9. Corporate Excellence (Private) Limited
- 10. Cosmos Capital Limited
- 11. DBF Capital Partners (Private) Limited
- 12. Deloitte Advisory Services (Private) Limited
- 13. deVere Zimbabwe (Private) Limited
- 14. Ernst & Young Associates (Private) Limited
- 15. FBC Bank Limited
- 16. Fortis Capital (Private) Limited
- 17. Grant Thornton Camelsa Advisory (Private) Limited
- 18. IH Advisory (Private) Limited

19. Imara Corporate Finance Zimbabwe (Private) Limited

C1. SECURITIES INVESTMENT ADVISORS

- 20. Intellego Investments Consultants (Private) Limited
- 21. Invesci Corporate Finance (Private) Limited
- 22. KPMG Advisory (Private) Limited
- 23. MBCA Bank Limited
- 24. NMB Bank Limited
- 25. Platinum Financial Solutions (Private) Limited
- 26. PriceWaterhouse Coopers Business Services (Private) Limited
- 27. Profinad (Zimbabwe) (Private) Limited
- 28. Stanbic Bank Zimbabwe Limited
- 29. TN Financial Services (Private) Limited
- 30. Tri African Capital (Private) Limited
- 31. ZB Capital (Private) Limited

D. SECURITIES TRANSFER SECRETARIES

- 1. Corpserve Registrars (Private) Limited
- 2. First Transfer secretaries (Private) Limited
- 3. ZB Transfer Secretaries (Private) Limited

E. SECURITIES CUSTODIANS

- 1. CABS Custodial Services (Private) Limited
- 2. CBZ Bank Limited
- 3. FBC Bank Limited
- 4. Stanbic Bank Zimbabwe Limited
- 5. Standard Chartered Bank Zimbabwe Limited

F. CENTRAL SECURITIES DEPOSITORY

1. Chengetedzai Depository Company Limited

G. SECURITIES TRUSTEES

- 1. CABS Custodial Services (Private) Limited
- 2. Stanbic Bank Zimbabwe Limited

H. SECURITIES EXCHANGES

- 1. Financial Securities Exchange (Private) Limited
- 2. Zimbabwe Stock Exchange Limited

For and On Behalf of the Securities and Exchange Commission of Zimbabwe

Tafadzwa Chinamo

Chief Executive Officer

3 May 2018