



**LD31/03/2017**

**LICENSING FOR THE YEAR ENDING 31 DECEMBER 2017**

The Securities & Exchange Commission of Zimbabwe advises that the following have been licensed in terms of the Securities & Exchange Act [Chapter 24:25], the Asset Management Act [Chapter 24:26] and the Collective Investment Schemes Act [Chapter 24:19].

**A. SECURITIES DEALING FIRMS AND SECURITIES DEALERS**

1. ABC Stockbrokers (Private) Limited
  - Arnold Tichafa Dhlamini
  - Rangarirai Rasheed Mtungwazi
  
2. Bethel Equities (Private) Limited
  - Geoffrey Senzo Mhlanga
  - Courage Murandu
  
3. EFE Securities (Private) Limited
  - Takudzwa Edgeton Tsanga
  - Phenias Mandaza

4. FBC Securities (Private) Limited
  - Benson Gasura
  - Davide Muchengi
  - Manatsa Tagwireyi
  - Richard Kumbuya Mashava
  
5. Imara Edwards Securities (Private) Limited
  - Mark John Steele Tunmer
  - Thedias Kasaira
  - Derrick Ncube
  
6. Interhorizon Securities (Private) Limited
  - Daniel Chigariro
  - Kudzai Machuma
  - Lloyd Gugulethu Mlotshwa
  
7. Invictus Securities Zimbabwe (Private) Limited
  - Tinashe Magodora
  - Wellington Mapedzamombe
  
8. Lynton-Edwards Stockbrokers (Private) Limited
  - Murray Angus Lynton-Edwards
  - Onard Mazorodze
  - Langton Tonderayi Nyatsanza
  
9. Mast Stockbrokers (Private) Limited
  - Leonard Peter Marriot
  - Arnold Chibvongodze

10. MMC Stockbrokers (Private) Limited
  - Itayi Walter Chirume
  - Edward Mapokotera
  
11. Old Mutual Securities (Private) Limited
  - Reggies Mtambo
  - Tevian Chauraya
  
12. Platinum Securities (Private) Limited
  - Tonderai Marira
  - Fidelis Chimhuka
  
13. Southern Trust Securities (Private) Limited
  - Zvisinei Nyakudya
  - Thandiwe Shonhiwa

**A1. SECURITIES DEALERS NOT AFFILIATED TO A FIRM**

1. Bartholomew Mswaka
2. Edmond Mupfapairi
3. John Motsi
4. Lungani Nkosilathi Nyamazana
5. Ndodana Mguquka
6. Seti Shumba

## **B. INVESTMENT MANAGEMENT COMPANIES/ ASSET MANAGERS**

1. ABC Asset Management (Private) Limited
2. Akribos Wealth Managers (Private) Limited
3. Alpha Asset Management (Private) Limited
4. Atria Asset Management (Private) Limited
5. CBZ Asset Management (Private) limited
6. Equivest Asset Management (Private) Limited
7. Fidelity Life Asset Management (Private) Limited
8. First Mutual Wealth Management (Private) Limited
9. Imara Asset Management Zimbabwe (Private) Limited
10. Invesci Asset Management (Private) Limited
11. Old Mutual Investment Group Zimbabwe (Private) Limited
12. Platinum Investment Managers (Private) Limited
13. Purpose Asset Management (Private) Limited
14. Smartvest Wealth Managers (Private) Limited
15. TN Asset Management (Private) Limited
16. Zimnat Asset Management (Private) Limited

## **C. SECURITIES INVESTMENT ADVISORS**

1. Advanced Wealth Solutions (Private) Limited
2. African Banking Corporation of Zimbabwe Limited
3. Akribos Advisory Services (Private) Limited
4. Baker Tilly Gwatidzo Chartered Accountants (Private) Limited
5. Brainworks Capital Management (Private) Limited
6. Carrick Wealth Zimbabwe (Private) Limited
7. CBZ Holdings Limited
8. Corporate Excellence (Private) Limited
9. Cosmos Capital Limited
10. DBF Capital Partners (Private) Limited
11. Deloitte Advisory Services (Private) Limited
12. deVere Zimbabwe (Private) Limited
13. Emergent Capital Management (Private) Limited
14. Ernst & Young Associates (Private) Limited
15. FBC Bank Limited
16. Grant Thornton Camelsa Advisory (Private) Limited
17. IH Advisory (Private) Limited
18. Imara Corporate Finance Zimbabwe (Private) Limited

19. Intellego Investments Consultants (Private) Limited
20. KPMG Advisory (Private) Limited
21. MBCA Bank Limited
22. Neverseez Capital (Private) Limited
23. NMB Bank Limited
24. Platinum Financial Solutions (Private) Limited
25. Pricewaterhouse Coopers Business Services (Private) Limited
26. Profinad (Zimbabwe) (Private) Limited
27. Riscura Zimbabwe (Private) Limited
28. Stanbic Bank Zimbabwe Limited
29. TN Financial Services (Private) Limited
30. Tri African Capital (Private) Limited
31. ZB Capital (Private) Limited

#### **D. SECURITIES TRANSFER SECRETARIES**

1. Corpserve Registrars (Private) Limited
2. First Transfer secretaries (Private) Limited
3. ZB Transfer Secretaries (Private) Limited

## **E. SECURITIES CUSTODIANS**

1. CABS Custodial Services (Private) Limited
2. CBZ Bank Limited
3. FBC Bank Limited
4. Stanbic Bank Zimbabwe Limited
5. Standard Chartered Bank Zimbabwe Limited

## **F. CENTRAL SECURITIES DEPOSITORY**

1. Chengetedzai Depository Company Limited

## **G. SECURITIES TRUSTEE**

1. CABS Custodial Services (Private) Limited
2. Stanbic Bank Zimbabwe Limited

## **H. SECURITIES EXCHANGE**

1. Financial Securities Exchange (Private) Limited
2. Zimbabwe Stock Exchange Limited

T. Chinamo  
**Chief Executive Officer**