



LICENSING FOR THE YEAR ENDING 31 DECEMBER 2016

The Securities & Exchange Commission of Zimbabwe advises that the following have been licensed in terms of the Securities & Exchange Act [Chapter 24:25], the Asset Management Act [Chapter 24:26] and the Collective Investment Schemes Act [Chapter 24:19].

A. SECURITIES DEALING FIRMS AND SECURITIES DEALERS

1. ABC Stockbrokers (Private) Limited
 - Arnold Tichafa Dhlamini
 - Rangarirai Rasheed Mtungwazi

2. Bethel Equities (Private) Limited
 - Geoffrey Senzo Mhlanga
 - Courage Murandu

3. EFE Securities (Private) Limited
 - Takudzwa Edgeton Tsanga

4. FBC Securities (Private) Limited
 - Benson Gasura
 - Richard Kumbuya Mashava

5. Imara Edwards Securities (Private) Limited
 - Mark John Steele Tunmer
 - Thedias Kasaira
 - Derrick Ncube

6. Interhorizon Securities (Private) Limited
 - Daniel Chigariro
 - Kudzai Machuma
 - Lloyd Gugulethu Mlotshwa

7. Invictus Securities Zimbabwe (Private) Limited
 - Tinashe Magodora
 - Wellington Mapedzamombe

8. Lynton-Edwards Stockbrokers (Private) Limited
 - Murray Angus Lynton-Edwards
 - Onard Mazorodze
 - Langton Tonderayi Nyatsanza

9. Mast Stockbrokers (Private) Limited
 - Leonard Peter Marriot
 - Arnold Chibvongodze
 - Christopher Mugona

10. MMC Stockbrokers (Private) Limited
 - Itayi Walter Chirume
 - Edward Mapokotera

11. Old Mutual Securities (Private) Limited

- Reggies Mtambo
- Seti Shumba
- Tevian Chauraya

12. Platinum Securities (Private) Limited

- Tonderai Marira
- Fidelis Chimhuka

13. Southern Trust Securities (Private) Limited

- Zvisinei Nyakudya
- Thandiwe Shonhiwa

A1. SECURITIES DEALERS NOT AFFILIATED TO A FIRM

1. Bartholomew Mswaka
2. Christopher Masendeke
3. John Motsi
4. Ndodana Mguquka

B. INVESTMENT MANAGEMENT COMPANIES/ ASSET MANAGERS

1. ABC Asset Management (Private) Limited
2. Alpha Asset Management (Private) Limited
3. Atria Asset Management (Private) Limited
4. CBZ Asset Management (Private) limited
5. Ecobank Asset Management (Private) Limited
6. Equivest Asset Management (Private) Limited

7. Fidelity Life Asset Management (Private) Limited
8. First Mutual Wealth Management (Private) Limited
9. Imara Asset Management Zimbabwe (Private) Limited
10. Invesci Asset Management (Private) Limited
11. Old Mutual Investment Group Zimbabwe (Private) Limited
12. Platinum Investment Managers (Private) Limited
13. Purpose Asset Management (Private) Limited
14. Smartvest Wealth Managers (Private) Limited
15. TN Asset Management (Private) Limited
16. Zimnat Asset Management (Private) Limited

C. SECURITIES INVESTMENT ADVISORS

1. African Banking Corporation of Zimbabwe Limited
2. Akribos Capital Incorporated (Private) Limited
3. Brainworks Capital Management (Private) Limited
4. Carrick Wealth Zimbabwe (Private) Limited
5. CBZ Bank Limited
6. Corporate Excellence (Private) Limited
7. Cosmos Capital Limited
8. DBF Capital Partners (Private) Limited
9. Deloitte Advisory Services (Private) Limited

10. deVere Zimbabwe (Private) Limited
11. Emergent Capital Management (Private) Limited
12. Ernst & Young Associates (Private) Limited
13. FBC Bank Limited
14. Grant Thornton Camelsa Advisory (Private) Limited
15. IH Advisory (Private) Limited
16. Imara Corporate Finance Zimbabwe (Private) Limited
17. Intellego Investments Consultants (Private) Limited
18. Invictus Capital (Private) Limited
19. KPMG Advisory (Private) Limited
20. MBCA Bank Limited
21. NMB Bank Limited
22. Platinum Financial Solutions (Private) Limited
23. Pricewaterhouse Coopers Business Services (Private) Limited
24. Profinad (Zimbabwe) (Private) Limited
25. Riscura Zimbabwe (Private) Limited
26. Stanbic Bank Zimbabwe Limited
27. TN Financial Services (Private) Limited
28. Tri African Capital (Private) Limited
29. ZB Capital (Private) Limited

D. SECURITIES TRANSFER SECRETARIES

1. Corpserve Registrars (Private) Limited
2. First Transfer secretaries (Private) Limited
3. ZB Transfer Secretaries (Private) Limited

E. SECURITIES CUSTODIANS

1. CBZ Bank Limited
2. FBC Bank Limited
3. Stanbic Bank Zimbabwe Limited
4. Standard Chartered Bank Zimbabwe Limited
5. Three Anchor Investments (Private) Limited

F. CENTRAL SECURITIES DEPOSITORY

1. Chengetedzai Depository Company Limited

G. SECURITIES TRUSTEE

1. Stanbic Bank Zimbabwe Limited
2. Three Anchor Investments (Private) Limited



T. Chinamo
Chief Executive Officer